

POST-GOVERNMENT EMPLOYMENT ETHICS QUESTIONNAIRE

PART I: GENERAL INSTRUCTIONS

THE PURPOSE OF THIS QUESTIONNAIRE IS TO OBTAIN INFORMATION FOR AN AGENCY ETHICS OPINION. ETHICS ADVICE IS BASED UPON INFORMATION GIVEN AT THAT TIME. AS CIRCUMSTANCES CHANGE, THE ADVICE ORIGINALLY GIVEN MAY NO LONGER BE ACCURATE. IN SUCH CASES, YOU MAY WANT TO SUBMIT A NEW QUESTIONNAIRE FOR ANOTHER ETHICS OPINION.

41 USC 423 ALLOWS YOU TO REQUEST AN AGENCY ETHICS OPINION ON POST-GOVERNMENT EMPLOYMENT RESTRICTIONS. IF THE INFORMATION PROVIDED IS INCOMPLETE OR FALSE, OR IF YOU FAIL TO FOLLOW YOUR ETHICS COUNSELOR'S ADVICE, YOU CANNOT RELY ON THIS OPINION AS A DEFENSE TO ANY CIVIL OR CRIMINAL ACTION.

INFORMATION MUST BE LEGIBLE. EXPLAIN ALL ACRONYMS OR TECHNICAL TERMS. EXPLAIN ALL PROJECTS, CONTRACTS, ACQUISITIONS OR OPERATIONS FULLY. IF THE SPACES PROVIDED DO NOT ALLOW A FULL AND SUFFICIENT RESPONSE, ATTACH ADDITIONAL PAGES AS NECESSARY.

NOTE: SUBMIT REQUEST TO THE ETHICS COUNSELOR WHERE YOU ARE CURRENTLY OR WERE LAST ASSIGNED

PART II: PRIVACY ACT STATEMENT AND NOTICE OF NO ATTORNEY-CLIENT PRIVILEGE

AUTHORITY: PRIVACY ACT OF 1974 (5 USC 552(A)(7)), 41 USC 423, AR 340-21

A. PRINCIPAL PURPOSE: TO ENABLE ETHICS COUNSELORS TO RENDER ADVICE TO PERSONNEL LEAVING GOVERNMENT SERVICE.

B. INFORMATION PROVIDED IS NOT CONFIDENTIAL. THE ETHICS COUNSELOR IS A GOVERNMENT REPRESENTATIVE. THERE IS NO ATTORNEY/CLIENT RELATIONSHIP BETWEEN THE ETHICS COUNSELOR AND YOU. THE ETHICS COUNSELOR IS NOT YOUR ATTORNEY.

THE INFORMATION WILL BE USED FOR PROVIDING ETHICS ADVICE. IT WILL BE RETAINED FOR SIX YEARS AND BE AVAILABLE TO ETHICS COUNSELORS AND OTHER PERSONNEL RESPONSIBLE FOR COMPLIANCE WITH POST-GOVERNMENT EMPLOYMENT RESTRICTIONS.

C. DISCLOSURE: VOLUNTARY. NO CRIMINAL, CIVIL OR OTHER PENALTIES WILL FOLLOW FROM REFUSAL TO PROVIDE REQUESTED INFORMATION. HOWEVER, FAILURE TO FULLY DISCLOSE INFORMATION REQUESTED COULD RESULT IN RECEIPT OF INCOMPLETE ADVICE OR THE INABILITY TO PROVIDE WRITTEN ETHICS ADVICE PURSUANT TO 41 USC 423.

PART III: PRIOR ETHICS ADVICE

1. Have you received any ethics advice from a Government Ethics Counselor, inside or outside of DoD, concerning your job search or prospective employment? YES ____ NO ____

2. If "YES" Provide details, including the advice received and the office (point of contact, address and telephone number) providing post government employment advice/counseling. Attach copies of opinions or other materials, if necessary

PART IV: GENERAL INFORMATION

1. Name _____

2. Office Phone (____) ____ - ____ Home Phone, if prefer contact at home (____) ____ - ____

Office/Official Address _____

Home Address _____

3. Address to which you want your written ethics advice sent: Home _____ Office _____

4. Grade or Rank at retirement: _____

5. Effective Retirement Date: _____

6. If you are planning on taking Transition Leave, what date will Transition Leave begin?

PART V: POST GOVERNMENT EMPLOYMENT INFORMATION

1. Have you begun seeking employment? If so, what date _____

2. With whom are you seeking employment? _____

3. In your current or last duty assignment, did you have any duties that involved the organization(s) with whom you are seeking employment ? _____ YES _____ NO.

A. Did you issue a Notice of Disqualification? YES _____ NO _____

B. If you have issued a Notice of Disqualification, please attach a copy and provide details of any other action to resolve a potential conflict of interest:

C. If you did not issue a Notice of Disqualification, please explain reason(s): _____

4. What is the expected date of employment? _____

5. What is your proposed job title and description of duties? Fully describe and explain the position, including any projects, programs, contracts or other support you will provide to the Federal government, DoD or DA:

PART VI: FEDERAL EMPLOYMENT INFORMATION

1. What is your current (or last) DoD/DA assignment? (**Describe All Acronyms**)

A. Duty Title: _____

B. Functional Scope of Duties (**Fully Explain What You Do**): _____

2. Identify all programs, projects, contracts (and contractors involved) in your last duty assignment: _____

3. Explain your role(s) or position(s) on the programs, projects or contracts identified above: _____

PART VII: PROCUREMENT INTEGRITY, 41 USC 423

1. During the **last twelve months**, have you been assigned to the following duties, or personally taken one of the following actions, involving a contract award, payment or claim **in excess of \$10,000,000?**

A. PROCURING CONTRACTING OFFICER, OR SOURCE
SELECTION AUTHORITY

YES ____ NO ____

B. SERVICE AS A **MEMBER** OF A SOURCE SELECTION
EVALUATION BOARD, OR AS **CHIEF** OF A
FINANCIAL OR TECHNICAL EVALUATION TEAM

YES ____ NO ____

C. PROGRAM MANAGER, DEPUTY PROGRAM MANAGER
OR ADMINISTRATIVE CONTRACTING OFFICER

YES ____ NO ____

D. AWARD OF A CONTRACT, SUBCONTRACT,
MODIFICATION, TASK ORDER OR DELIVERY
ORDER, OR PAYMENT OF A CONTRACT CLAIM

YES ____ NO ____

E. ESTABLISHING OVERHEAD OR OTHER RATES

YES ____ NO ____

F. APPROVAL OF A CONTRACT PAYMENT

YES ____ NO ____

2. If you answered "YES," identify the contract where you performed that function.

3. On those actions where you answered "YES," identify the date when you took the action or were last involved.

PART VIII. REQUEST FOR ETHICS OPINION

I request a written ethics opinion based on the information I provided in this Questionnaire and all attachments. I Certify the information to be true and correct to the best of my knowledge and belief.

Signed _____ Dated _____

SUBMIT REQUEST TO THE ETHICS COUNSELOR WHERE YOU ARE OR WERE LAST ASSIGNED

FEB 2006